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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-37

First Inventor Pascal E. Garrido

Title DRIVE NUT AND SCREW.....

Express Mail Label No. EU288429194US

9270 U.S. P.T.O.  
10/697539



## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/015,445.....

Prior application information:

Examiner MARSH, STEVEN M

Art Unit: 3632

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Casimir R. Kiczek		
Address	Dura Automotive Systems, Inc. 2791 Research Drive		
City	Rochester Hills	State	Michigan
Country	United States	Telephone	248-299-7566
		Fax	248-299-2820

Name (Print/Type)	Casimir R. Kiczek	Registration No. (Attorney/Agent)	28,865
Signature		Date	10/29/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**VIA EXPRESS MAIL RECEIPT NO. EU288429194US**

Mail Stop – Patent App.  
U.S. Patent and Trademark Office  
2011 South Clark Place  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Re: Continuation in part of United States Serial No. 10/015,445  
Title: **DRIVE NUT AND SCREW FOR SEAT ADJUSTER**  
Inventor(s): Pascal E. Garrido  
Attorney Docket No.: 01-37

Dear Sir:

Dura submits the following documents (in reference to the above identified invention), as a Continuation-in-Part of United States Patent Application No. 10/015,445.

An Advisory action in connection to serial number 10/015,445 was mailed on September 30, 2003.

Enclosed please find the following documents pertaining to the above identified:


- 1.] Cover Letter (1 page),
- 2.] Specifications, claims, and abstract (14 pages),
- 3.] Utility Patent App. Transmittal PTO/SB/05 (1 page),
- 4.] Recordation w/ Assignment document (2 pages),
- 5.] Declaration and Power of Attorney (1 page),
- 6.] Formal Drawings (8 sheets),
- 7.] Fee Transmittal Form (1 page), and
- 8.] Certificate of Express Mail Receipt No. **EU288429194US**.

I authorize that the amount of 1,006.00 be taken directly from Dura Deposit Account No. 500865. Please credit any over payments, and charge any extra fees I have not included that come due during the course of this filing.

Please notice that I have included the fee amount of \$110.00 dollars, for the charge of the one month fee extension, which results from the Advisory action mailed on September 30, 2003.

Also included is our version of a return postcard, please acknowledge receipt upon arrival and return to us for our filing purpose.

October 29, 2003

Sincerely,  
  
Jennifer R. Sinkovitch  
IP Paralegal

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$) 1,006.00

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	PASCAL E. GARRIDO
Examiner Name	
Group / Art Unit	
Attorney Docket No.	01-37

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 500865</p> <p>Deposit Account Name: DURA Automotive</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES.</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>0</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td>0</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>0</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>0</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>110.00</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td>0</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td>0</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td>0</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td>0</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td>0</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td>0</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td>0</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>0</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>0</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td>0</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td>0</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td>0</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td>0</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>0</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>0</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>0</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0</td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>0</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>0</td></tr> <tr> <td colspan="5">SUBTOTAL (3)</td> <td>150.00</td> </tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	0	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0	139	130	139	130	Non-English specification	0	147	2,520	147	2,520	For filing a request for reexamination	0	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0	115	110	215	55	Extension for reply within first month	110.00	116	380	216	190	Extension for reply within second month	0	117	870	217	435	Extension for reply within third month	0	118	1,360	218	680	Extension for reply within fourth month	0	128	1,850	228	925	Extension for reply within fifth month	0	119	300	219	150	Notice of Appeal	0	120	300	220	150	Filing a brief in support of an appeal	0	121	260	221	130	Request for oral hearing	0	138	1,510	138	1,510	Petition to institute a public use proceeding	0	140	110	240	55	Petition to revive - unavoidable	0	141	1,210	241	605	Petition to revive - unintentional	0	142	1,210	242	605	Utility issue fee (or reissue)	0	143	430	243	215	Design issue fee	0	144	580	244	290	Plant issue fee	0	122	130	122	130	Petitions to the Commissioner	0	123	50	123	50	Petitions related to provisional applications	0	126	240	126	240	Submission of Information Disclosure Stmt	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0	Other fee (specify) _____					0	Other fee (specify) _____					0	SUBTOTAL (3)					150.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	CASIMIR R. Kiczek	Registration No. (Attorney/Agent)	28865
Signature	<i>Casimir R. Kiczek</i>	Telephone	248-299-7566
		Date	10-29-03

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Mo. Day		
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Customer Signature		

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Jennifer Sinkovich DURA Automotive Systems 2791 Research Drive Rochester Hills, MI 48309	

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**RESS HARD.** **FOR PICKUP OR TRACKING CALL 1-800-222-1811** [www.usps.com](http://www.usps.com)

I hereby certify that the above application for United States Letters Patent entitled:  
**"DRIVE NUT AND SCREW FOR A SEAT ADJUSTER."**

- 1.] Fee Transmittal Form (1 page),
- 2.] Cover Letter (1 page),
- 3.] Utility Patent App. Transmittal (1 page),
- 4.] Recordation form w/ Assignment document (2 pages),
- 5.] Declaration and Power of Attorney (1 page),
- 6.] Specifications, claims, and abstract (14 pages)
- 7.] Formal Drawings (8 sheets); and
- 8.] Certificate of Express Mailing Receipt No. **EU288429194US**.

Are being mailed via Express mail Receipt No. **EU28842919US**, addressed to: 2011 South Clark Place, Mail Stop-Patent App., Crystal Plaza Two, Lobby Room 1B03, Arlington, Virginia 22202, on this 29<sup>th</sup> day of October 2003.

Signature:

Date: 10/29/03